

LIST OF PUBLICATIONS CITED BY APPLICANT	Attorney Docket No. SEL 281	Serial No. Not Assigned
	Applicant Shunpei YAMAZAKI et al	10/09/1997 137
	Filing Date Herewith	Group 2823 10/22/1997

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
BR	4,725,558	02/16/88	Yamazaki et al	437	2	11/16/86
BN	4,806,496	02/21/89	Suzuki et al	437	4	01/28/87

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	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE

OTHER PUBLICATIONS
(Including Author, Title, Date, Pertinent Pages)

BR	1. Tsutsui, Tetsuo, et al, "Electroluminescence in Organic Thin Films," Photochemical Processes in Organized Molecular Systems, pp. 437-450, 1991.
BN	2. Baldo, M.A. et al, "Highly Efficient Phosphorescent Emission from Organic Electroluminescent Devices," Nature, vol. 395, pp. 151-154, September 10, 1998.
BR	3. Baldo, M.A. et al, "Very High-Efficiency Green Organic Light-Emitting Devices Based on Electrophosphorescence," Applied Physics Letters, vol. 75, no. 1, pp. 4-6, July 5, 1999.
BN	4. Tsutsui, T. et al, "High Quantum Efficiency in Organic Light-Emitting Devices with Iridium-Complex as a Triplet Emissive Center," Japanese Journal of Applied Physics, vol. 38, part 2, no. 12B, pp. L 1502-L 1504, December 15, 1999.

EXAMINER:	BRONK, Kebede	DATE CONSIDERED:
		11/06/2003

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LIST OF PUBLICATIONS CITED BY APPLICANT			Attorney Docket No. SEL 281	Serial No. 09/973,133		
			Applicant Shunpei YAMAZAKI et al.			
			Filing Date October 9, 2001	Group 2823		
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
BL	6,380,689	04/30/02	Okuda	—	—	10/05/00
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
OTHER PUBLICATIONS (Including Author, Title, Date, Pertinent Pages)						
EXAMINER: Brook Kekedell			DATE CONSIDERED: 11/28/2003			
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LIST OF PUBLICATIONS CITED BY APPLICANT	Attorney Docket No. SEL 281		Serial No. 09/973,133			
	Applicant Shunpei YAMAZAKI et al.					
	Filing Date October 9, 2001		Group 282-3			
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>BRK</i>	JP 2001-109432	04/20/01	Okuda			10/06/99
OTHER PUBLICATIONS (Including Author, Title, Date, Pertinent Pages)						
1) JP 2001-109432 English abstract.						
EXAMINER: <i>BRK Ke Geddle</i>				DATE CONSIDERED: <i>11/08/2003</i>		
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 11

of 1

Completeness of Filing	
Application Number	09/973,133
Filing Date	October 9, 2001
First Named Inventor	Shunpe YAMAZAKI et al
Art Unit	2811 2823
Examiner Name	
Attorney Docket Number	SEL 281

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code, Number, and Code* (if known)	MM-DD-YYYY		
BR	8	WO 98-33165	7/30/1998	YAMADA H	
BR	9	EP 704912	4/3/1996	TANG C et al	
BR	10	EP 776147	5/28/1997	KAWAMI, S et al	
BR	11	JP 64-031197 with English Abstract	2/1/1989	SHIMOYAMA et al	
BR	12	JP 08-078519 with English Abstract	3/22/1996	SAKOH T	
BR	13	JP 08-180972 with English Abstract	7/12/1996	TANG C et al	

**Examiner
Signature** *Brook Kebede* **Date
Considered** *11/28/2003*

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Sheet 12

of

Complete if Known

Substitute for Form 1449-PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	09/973,133
		Filing Date	October 9, 2001
		First Named Inventor	ShunpeYAMAZAKI et al
		Art Unit	2811 2823
		Examiner Name	
		Attorney Docket Number	SEI 281

FOREIGN PATENT DOCUMENTS				
Examiner In Initials*	Case No.	Foreign Patent Document Country, Code*, Number*, and Date* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
bx	14	JP 09-148066 with English Abstract	6/6/1997	KAWAMI et al
	15	JP 10-041068 with English Abstract	2/13/1988	GOTO
	16	JP 10-214060 with English Abstract	8/11/1998	YAMADA et al
	17	JP 10-232649 with English Abstract	9/2/1998	YAMADA et al
	18	JP 10-312173 with full Eng. translation	11/24/1998	USHIKUSA

Examiner Signature	Brook Kebcell	Date Considered	11/28/2003
<p>If KAMINER initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds of Codes at www.uspto.gov or MPEP 901.04. Enter Office that issued the document by the two-letter code (WIPO Standard ST-3). For foreign patent documents, the indication of the name of the reign of the Emperor must precede the serial number of the patent document. Kind of document by its appropriate symbols as indicated on the document under WIPO Standard ST-16 if possible. Applicant is to place a check mark here if English language translation is attached.</p> <p>The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to be furnished by the USPTO in processing an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete. The use of this form is mandatory. The use of this form is mandatory. Any comments or suggestions on the use of this form should be directed to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.</p>			



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<p>Sheet 3 of 3</p>		<p>Application Number 09/973,133</p> <p>Filing Date October 9, 2001</p> <p>First Named Inventor Shunpe YAMAZAKI et al</p> <p>Art Unit 2811 2823</p> <p>Examiner Name _____</p>	<p>Attorney Docket Number SEL 281</p>

OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

Examiner Signature	Bruce Kebode	Date Considered	11/28/2003
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1 Applicant's unique invention designation number 37. 2 Applicant is to place a check mark here if English language Translation is attached.
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